Information under art 12(1), 13, 14, 21(4) Reg. (EU) 2016/679 (General Data Protection Regulation - GDPR)

You have been invited to take part in a survey within our <u>China Economic Panel</u> (CEP). CEP is a scientific research project which studies expectations on the current situation of the economy and financial markets of China. Within this survey, we process personal data which relate to you, as described hereinafter.

To select and invite you, we have processed your name, gender, email address and employer. If it is necessary to remind you of the survey, we further process your telephone number. Lastly, we process your postal address for better proof of your participation. We collect these data either because you provide them to us voluntarily so that we may consider you as potential participant, or because we have found them in publicly available sources.

If you should decide to take part in the survey, we will collect your answers to the scientific questions, along with data on the date/time of your access to our survey server. You are not legally required to answer those questions; however, to scientifically consider your participation, we need your answers to at least one of them.

Controller of the processing of the abovementioned personal data ('your data') are we, the ZEW – Leibniz Centre for European Economic Research

<u>Department of International Finance and Financial Management Research</u>: <u>Dr Michael Schröder</u>

Address in Germany: L 7, 1, 68161 Mannheim (visiting) | P.O. Box 103443, 68034 Mannheim (postal) michael.schroeder@zew.de | +49 (0) 621 1235-368

## Out data protection officer is

Dr Ioannis Ntouvas | Address: as ZEW | datenschutzbeauftragter@zew.de | +49 (0) 621 1235-384

The reason we are allowed to process your data is, basically, that we do so in the public interest pursuant to Article 6(1)(1)(e) GDPR and not because you have expressly given consent to the processing.

According to Guidelines 13 and 17 of the <u>DFG Code of Conduct 2019</u>, we will disclose your data to any scientific researcher outside the ZEW, on their request, who may wish to check the accuracy of our scientific results; moreover, we will disclose your anonymised answers to the scientific questions to recipients, on their request, who may wish to conduct research with such data. For recipients established outside the European Economic Area or within an international organisation within the meaning of <u>Article 44</u> GDPR, we will disclose your data, as the case may be, only if necessary for important reasons of public interest within the meaning of <u>Article 49(1)(d) GDPR</u> or if some other condition of <u>Article 44 GDPR</u> is fulfilled.

If you have a Chinese name, we will moreover disclose your pseudonymised answers to the scientific questions to the <u>Financial Research Center</u> of Fudan University Shanghai, so that we may jointly report on the results of the survey. Pseudonymised means here that the recipients and other parties will be unable to identify you, because they will have no access to the pseudonymisation key, which we will maintain unter our sole control, or because they will have no other means reasonably likely to be used to identify you.

After the survey is completed, we will store your data indefinitely, according to the exception of Article 5(1)(e) GDPR, so that we may be able to conduct additional research in future. A storage period of 10 years after publication of the final report to the survey is, in any case, required by Guidelines 13 and 17 of the DFG Code of Conduct 2019. According to those Guidelines, scientific findings, along with the research data on which they are based, must be made available to other scientific researchers, indeed in the interest of transparency and to enable research to be referred to and reused by others.

You may exercise the following rights towards us: access (Article 15 GDPR in conjunction with § 27(2) BDSG), rectification (Article 16 GDPR in conjunction with § 27(2) BDSG), restriction of processing (Article 18 GDPR in conjunction with § 27(2) BDSG). When doing so, please cite 'FM-Mit13-14DSGVO (CEP)'. Because we process your data for scientific research in the public interest, you have, pursuant to Article 20(3) GDPR, no right to data portability, nor, pursuant to Article 21(6) GDPR, a right to object, nor, pursuant to Article 17(3)(d) and as the case may be, a right to erasure. You may lodge a complaint pursuant to Article 77 GDPR with the supervisory authority (Landesbeauftragter fuer den Datenschutz Baden-Wuerttemberg).

This information, up-to-date on 4 December 2020, is available at ftp.zew.de/dsgvo/fm and is linked in the invitation.